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BEFORE THE WEST VIRGINIA STATE BOARD OF
REGISTRATION FOR PROFESSIONAL ENGINEERS

WV PE BOARD

IN RE: LIGHT-HEIGEL & ASSOC.

C2015-07

CONSENT ORDER

Comes now the West Virginia State Board of Registration for Professional Engineers by Edward L. Robinson, P.E., its President, (hereinafter "the Board") for the purpose of agreeing to resolve a Complaint seeking disciplinary action against Michael S. Keffer, now dismissed from this Complaint, and Light-Heigel & Assoc. ("Respondent Firm"). As reflected in this document, the parties have reached an agreement concerning the proper disposition of this matter, and the Board, upon approval of such agreement, does hereby **FIND** the following:

1. The matters set forth herein are within the jurisdiction of the Board, which is the state entity with the power and duty to regulate the practice of engineering in the State of West Virginia.
2. A company related to Respondent Firm and working under the same FEIN performed engineering work on four (4) projects in West Virginia for previously existing clients without the Certificate of Authorization (COA) required by West Virginia engineering law.
3. This Complaint was initiated by the Board on March 24, 2015, and served on April 8, 2015, which also alleged a seal-related violation.
4. Respondent Firm was in good standing to practice engineering in West Virginia at the time the Complaint was initiated.

5. Based on documents contained in the Response which evidenced that documents presented to the clients were sealed as required by West Virginia engineering law, Michael S. Keffer was dismissed from the Complaint.
6. Michael S. Keffer, P.E., is an officer of Respondent Firm and has the authority to act on behalf of Respondent Firm.
7. Respondent Firm admits it practiced engineering in connection with four (4) projects prior to obtaining a Certificate of Authorization (COA), which is in violation of West Virginia engineering law.
8. The Board has taken into account the following factors in reaching this settlement: the work was done by professional engineers licensed in this State, Respondent Firm cooperated in the prompt resolution of this Complaint, and the violation did not pose any risk of harm to the public.
9. The Board, in its discretion, will not assess administrative costs although it has incurred such costs in the investigation, initiation and resolution of this Complaint, and it is within the Board's power to assess such costs.

CONCLUSIONS OF LAW

10. The Board is the state entity with the power and duty to regulate the practice of engineering in the State of West Virginia, and matters related to such practice are within the jurisdiction of the Board. W. Va. Code § 30-13-1 et seq.
11. Michael S. Keffer, P.E., an officer of Respondent Firm, has the power and authority to make admissions and otherwise act on behalf of Respondent Firm.
12. West Virginia engineering law allows a firm to practice or offer to practice engineering only upon the issuance of a certificate of authorization by the Board. W. Va. Code § 30-13-17.

13. The Board may take disciplinary action against any firm which fails to comply with any of the provisions of West Virginia Engineering Law and may assess a civil penalty and related costs for each count or separate offense. W. Va. Code § 30-13-21(a)(4) and (d)(4).
14. The Board is authorized to “assess civil penalties against any person who violates any provision of this article or any rule promulgated by the board for each offense in an amount determined by the board.” W. Va. Code § 30-13-21(b); West Virginia Code R. § 7-1-15.
15. Practicing or offering to practice engineering, as defined by W. Va. Code § 30-13-1 *et seq.*, without a valid Certificate of Authorization is an action that may subject a firm to discipline by the Board, including a civil penalty up to Five Thousand Dollars (\$5,000.00). W. Va. Code R. § 7-1-15.1.
16. In determining the amount of a civil penalty to be assessed, the Board may consider such factors as:
 - (a) Whether the amount imposed will be a substantial economic deterrent to the violation;
 - (b) The circumstances leading to the violation;
 - (c) The nature and severity of the violation and the risk of harm to the public;
 - (d) The history of previous violations;
 - (e) The extent to which the cited person or firm has cooperated with the Board and the Board’s investigation;
 - (f) The economic benefits gained by the violator as a result of the noncompliance;
 - (g) The interest of the public; and
 - (h) Other matters as may be appropriate.W. Va. Code R. § 7-1-15.4.
17. The assessment of administrative costs is within the sole discretion of the Board. W. Va. Code § 30-13-21(d) (4) and W. Va. Code R. § 7-1-14.4.

CONSENT OF RESPONDENT FIRM

Michael S. Keffer, on behalf of Respondent Firm, by affixing his signature hereon, agrees to the following:

18. Respondent Firm is aware of its right to be represented by counsel and to pursue this matter through appropriate administrative and/or court procedures, including its right to a formal hearing before the Board, and Respondent Firm intelligently and voluntarily waives these rights provided the Board accepts the terms and conditions set forth in this Consent Order.
19. Respondent Firm admits it did not have a COA at the time of the engineering work on four (4) separate projects, in violation of West Virginia engineering law.
20. Respondent Firm accepts the findings set forth above and consents to the entry of this Consent Order freely and voluntarily and not under duress, restraint or compulsion.
21. Respondent Firm acknowledges that entering into the negotiation of this Consent Order constitutes a waiver of any and all objections regarding the timeliness of Board action on Complaint Number C2015-07. This paragraph is binding upon Respondent even in the event that the Board does not approve this Consent Order.
22. Respondent Firm acknowledges that this Consent Order is being executed for the purpose of avoiding further administrative action with respect to the above-styled matter. In this regard, Respondent Firm authorizes the Board to review and examine all investigative file materials concerning Respondent Firm's activities in West Virginia in consideration of this Consent Order.
23. Should the Board not approve this Consent Order, Respondent Firm waives any objections to the Board or any of its members participating in the adjudication of this matter. This paragraph is binding on Respondent Firm even if the Board does not approve this Consent Order.

24. Respondent Firm acknowledges that the Board may reject this proposal, notice and hold a hearing, and, upon adjudication, impose such sanctions of a disciplinary nature as it deems appropriate.
25. Respondent Firm understands that this Consent Order constitutes a full and final settlement of this matter, and nothing in this Consent Order or the circumstances giving rise to the same may be the subject of any appeal or other civil or administrative actions except an action by the Board to enforce the terms of this Consent Order, but that nothing in this Consent Order prohibits the Board from considering this disciplinary action or the circumstances giving rise to same with regard to any future disciplinary matter involving Respondent Firm which may be before this Board.
26. Respondent Firm understands that the complaint, documents submitted to the Board by Respondent Firm, and this Consent Order, together with other public documents which may be in the file, are public records which must be made available upon legal request in accordance with the state's Freedom of Information Act.
27. Respondent Firm agrees that the sum and substance of the Complaint and this agreement in part or in their entirety will be set forth in Board publications and on the Board website, as well as other appropriate placements, including the non-public enforcement exchange database administered by the National Council of Examiners for Engineering and Surveying (NCEES).
28. Respondent Firm acknowledges that non-compliance with this Consent Order may result in the rescission of this agreement, the reinstatement of the Complaint, the summary revocation of any certification issued to Respondent Firm by the Board, and the addition of any other charges which may arise or ensue Respondent Firm's non-compliance with this Consent Order.

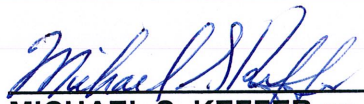
29. Respondent acknowledges that proof of any material misstatement or misrepresentation made in connection with this matter will result in the rescission of this agreement, the reinstatement of the Complaint, the summary revocation of any certification issued to Respondent Firm by the Board, and the addition of any other charges which may arise or ensue from providing false information to the Board in violation of West Virginia engineering law.

ORDER

1. On the basis of the foregoing, and upon entry of this Consent Order, the Board hereby **ORDERS** that this Consent Order shall serve as settlement of Complaint number C2015-07 pursuant to West Virginia Code § 30-13-22(b).
2. The Board **ORDERS** Respondent Firm to pay a civil penalty in the amount of Two Hundred Fifty Dollars (\$250.00) for the first count of practicing engineering in West Virginia without the required COA, and One Hundred Fifty Dollars (\$150.00) for each of the three additional counts of practicing engineering in West Virginia without the required COA, for a total of Seven Hundred Dollars (\$700.00) in civil penalties.
3. The civil penalties totaling Seven Hundred Dollars (\$700.00) shall be paid in full within thirty (30) days of entry of this Consent Order, such amount to be made payable to the W. Va. P.E. Board, and shall be transferred to the general fund of the State of West Virginia upon receipt.
4. The sum and substance of the Complaint and this agreement in part or in their entirety shall be set forth in Board publications and on the Board website, as well as other appropriate placements, including the non-public enforcement exchange database administered by the National Council of Examiners for Engineering and Surveying (NCEES).

5. Any violation of the terms of this Consent Order shall be immediate cause for further disciplinary action by the Board.
6. If the civil penalties totaling Seven Hundred Dollars (\$700.00) are not timely paid, this Consent Order may be summarily enforced in the Circuit Court of Kanawha County without further notice to Respondent Firm upon application by the Board for the entry of a Judgment Order for the total amount of payment agreed to herein, together with pre-judgment interest from the date of the President's signature hereon, post-judgment interest from the date of entry of the Judgment Order and all costs of any enforcement action(s), which judgment shall be fully executable in accordance with applicable law.
7. This matter shall be closed upon execution of this Consent Order by both parties and the full payment of the civil penalties agreed to herein.
8. This Consent Order constitutes a full and final settlement of this matter, and nothing in this Consent Order or the circumstances giving rise to same may be the subject of any appeal or other civil or administrative actions except an action by the Board to enforce the terms of this Consent Order. This shall not prohibit the Board from considering this disciplinary action or the circumstances giving rise to the same with regard to any future disciplinary matter involving Respondent which may come before this Board.
9. This Consent Order relates solely to matters set forth in West Virginia engineering law and does not evidence compliance with any other laws of the State of West Virginia or its political subdivisions, nor should any such compliance be implied.

AGREED TO BY:



**MICHAEL S. KEFFER on behalf of
LIGHT-HEIGEL & ASSOC.**

June 2, 2015
DATE



ENTERED into the records of the West Virginia State Board of Registration for Professional Engineers this 11th day of June, 2015.

**WEST VIRGINIA STATE BOARD OF REGISTRATION
FOR PROFESSIONAL ENGINEERS**

By: 

EDWARD L. ROBINSON, P.E.
BOARD PRESIDENT