# BEFORE THE WEST VIRGINIA STATE BOARD OF REGISTRATION FOR PROFESSIONAL ENGINEERS

DEC 26 2019

IN RE: SINCLAIR PRATT CAMERON, PC

WV PE BOARD C2020-16

## **CONSENT ORDER**

Comes now the West Virginia State Board of Registration for Professional Engineers by Bhajan S. Saluja, P.E., its President, (hereinafter "the Board") for the purpose of agreeing to resolve a Complaint seeking disciplinary action against Sinclair Pratt Cameron, PC. (hereinafter "Respondent Firm"). As reflected in this document, the parties have reached an agreement concerning the proper disposition of this matter, and the Board, upon approval of this agreement, does hereby **FIND** the following:

- The matters set forth herein are within the jurisdiction of the Board, which is the state entity
  with the power and duty to regulate the practice of engineering in the State of West
  Virginia.
- 2. Respondent Firm is a corporation organized under the laws of the Commonwealth of Virginia, with its principal place of business in Virginia Beach, Virginia.
- 3. State law requires a provider of engineering services to possess an active Certificate of Authorization (hereinafter "COA") in order to practice engineering or to offer to practice engineering in West Virginia.
- 4. On or about October 21, 2019, Respondent Firm applied for a COA.
- 5. Respondent Firm's COA (COA# C06133) was activated on October 21, 2019.
- 6. In its application for a COA, Respondent Firm indicated that it was currently practicing or offering engineering services for projects in West Virginia. It also answered "No" to the question, "Has this firm ever been subject to any disciplinary action or any investigation by any local, state or national regulatory body (including WV)?"

- 7. This resulted in a Board-initiated investigation, which was the basis of the Board's Complaint which set forth allegations that Respondent Firm, allegedly offered and provided engineering services for two projects in the State of West Virginia; one being a sign installation for a location in Kanawha County, West Virginia, and the other being a retaining wall in Ritchie County, West Virginia prior to Respondent Firm obtaining its COA, and had, or attempted to, perpetrate fraud and deceit in obtaining a Certificate of Authorization by answering in the negative to the question, "Has this firm ever been subject to any disciplinary action or any investigation by any local, state or national regulatory body (including WV)?"
- 8. The Board initiated Complaint Number C2020-16 at its regular meeting on November 13, 2019, which was filed and served on November 20, 2019.
- 9. The Board and Respondent Firm have agreed to settle this matter in lieu of Respondent Firm filing a formal Response.
- 10. Respondent Firm admits that it offered to provide engineering services, and did provide engineering services for two projects in West Virginia without a COA, and that it failed to answer the application question "Has this firm ever been subject to any disciplinary action or any investigation by any local, state or national regulatory body (including WV)?" in the affirmative. Respondent Firm contends that the said answer was an inadvertent error.
- By agreement of the parties, Respondent Firm's alleged violation of fraud or deceit is herein reduced to the charge of providing misinformation to the Board.
- 12. The Board has taken into account the following factors in reaching this settlement: the offers and practice of engineering services did not pose a harm to the public; Respondent Firm applied for and received a COA and it was in good standing with the Board prior to the service of this Complaint upon Respondent Firm; Respondent Firm voluntarily disclosed that it offered and/or provided engineer services in West Virginia without a COA; and Respondent Firm has cooperated in the resolution of this Complaint.

13. The Board, in its discretion, will not assess administrative costs although it is within its power to assess such costs and administrative costs were incurred in the investigation, initiation and resolution of this complaint.

#### CONCLUSIONS OF LAW

- 14. The Board is the state entity with the power and duty to regulate the practice of engineering in the State of West Virginia, and matters related to such practice are within the jurisdiction of the Board. W. Va. Code §30-13-1 *et seq*.
- 15. William C. Sinclair, Jr., President of Respondent Firm, has the power and authority to make admissions and otherwise act on behalf of Respondent Firm, and he makes such admissions, knowingly, freely, voluntarily, and without any threat of harm or act of coercion.
- 16. West Virginia engineering law allows a firm to practice engineering or to offer engineering services only upon the issuance of a Certificate of Authorization by the Board. W. Va. Code §30-13-17.
- 17. It is unlawful for any firm to practice or offer to practice engineering, as defined by West Virginia Code §30-13-3(e), in the State of West Virginia without a COA. W. Va. Code §30-13-17.
- 18. It is unlawful for any person to provide false testimony or information to the Board, in violation of the provisions of West Virginia engineering law. W. Va. Code §30-13-21(a)(12).
- 19. The Board may suspend or revoke or refuse to issue, restore or renew a certificate of registration, or place on probation, impose a civil penalty or reprimand any professional engineer who has failed to comply with any of the provisions of this article or any of the rules promulgated under it. W. Va. Code §30-13-21(a)(4).
- 20. The provisions of W. Va. Code §30-13-21(a) are applicable as appropriate to unlicensed persons and firms. W. Va. Code §30-13-21(b) and (d).

- Practicing engineering, and offering to practice engineering as defined by W. Va. Code §30-13-3(e), without a valid Certificate of Authorization are actions that may subject any person or firm to discipline by the Board, including a civil penalty up to Five Thousand Dollars (\$5,000.00) for each offense. W. Va. Code R. §7-1-15.1.
- 22. Providing misinformation to the Board may subject any person or firm to discipline by the Board, including a civil penalty up to Fifteen Thousand dollars (\$15,000.00) for each offense. W. Va. Code R. §7-1-15.1.
- 23. The Board is authorized to "assess civil penalties against any person who violates any provision of this article or any rule promulgated by the board for each offense in an amount determined by the board." W. Va. Code §30-13-21(b); see also W. Va. Code §30-13-21(d)(4).
- 24. In determining the amount of a civil penalty to be assessed, the Board may consider such factors as the circumstances leading to the violation; the nature and severity of the violation and the risk of harm to the public; the extent to which the cited person or firm has cooperated with the Board and the Board's investigation; and other matters as may be appropriate. W. Va. Code R. §7-1-15.4.
- 25. The assessment of administrative costs is within the Board's sole discretion. W. Va. Code §30-13-21(d)(4) and W. Va. Code R. §7-1-14.4.

#### **CONSENT OF RESPONDENT FIRM**

Mr. William C. Sinclair, Jr., President of Respondent Firm, by affixing his signature hereon, agrees to the following on behalf of Sinclair Pratt Cameron, PC:

- 26. Respondent Firm has the power and authority to make admissions, and otherwise act on its own behalf, and it makes such admissions herein, knowingly, freely, voluntarily, and without any threat of harm or act of coercion.
- 27. Respondent Firm acknowledges its right to consult an attorney of its choosing, at its sole expense, and it knowingly, freely, voluntarily, and without any threat of harm or act of

coercion waives its right to consult counsel, and will proceed pro se. Further, Respondent Firm is aware of its rights to pursue this matter through appropriate administrative and/or court procedures, including its right to a formal hearing before the Board, and Respondent Firm knowingly, freely and voluntarily waives these rights provided the Board accepts the terms and conditions set forth in this Consent Order.

- 28. Respondent Firm admits it did not have a Certificate of Authorization when it offered and provided engineering services for the installation of a sign in Kanawha County, West Virginia. Respondent Firm also admits it did not have a Certificate of Authorization when it offered and provided engineering services for the design of a retaining wall in Ritchie County, West Virginia.
- 29. Based on the findings of fact set forth above, the legal citations set forth herein, and Respondent Firm's admission above, Respondent Firm violated W. Va. Code §30-13-17 two times when it offered engineering services for the two projects without the required COA, and it violated W. Va. Code §30-13-17 two additional times when it provided engineering services for the two projects without the required COA. These acts constitute four separate violations of West Virginia engineering law, W. Va. Code §30-13-1 *et seq.* and/or the rules promulgated under it.
- 30. Respondent Firm admits that it provided misinformation to the Board in its application for obtaining a Certificate of Authorization by mistakenly answering "No" to the question, "Has this firm ever been subject to any disciplinary action or any investigation by any local, state or national regulatory body (including WV)?" The question should have been answered, "Yes."
- Based on the findings of fact set forth above, the legal citations set forth herein, and Respondent Firm's admission above, Respondent Firm violated W. Va. Code §30-13-21(a)(12) when it answered "No" to the COA application disclosure question "Has this firm ever been subject to any disciplinary action or any investigation by any local, state, or national regulatory body (including WV)?"

- 32. Respondent Firm accepts the findings set forth above and consents to the entry of this Consent Order knowingly, freely and voluntarily, and not under duress, restraint or compulsion.
- 33. Respondent Firm acknowledges that this Consent Order is being executed for the purpose of avoiding further administrative action with respect to the above-styled matter. In this regard, Respondent Firm authorizes the Board to review and examine all investigative file materials concerning Respondent Firm's activities in West Virginia in consideration of this Consent Order.
- 34. Should the Board not approve this Consent Order, Respondent Firm waives any objections to the Board or any of its members participating in the adjudication of this matter. This paragraph is binding on Respondent Firm even if the Board does not approve this Consent Order.
- 35. Respondent Firm acknowledges that the Board may reject this proposal, notice and hold a hearing, and, upon adjudication, impose such sanctions of a disciplinary nature as it deems appropriate.
- 36. If the Board does reject this proposal, any admissions made herein by Respondent Firm are deemed null and void.
- 37. Respondent Firm understands that this Consent Order constitutes a full and final settlement of this matter, and nothing in this Consent Order or the circumstances giving rise to the same may be the subject of any appeal or other civil or administrative actions except an action by the Board to enforce the terms of this Consent Order, but that nothing in this Consent Order prohibits the Board from considering this disciplinary action or the circumstances giving rise to same with regard to any future disciplinary matter involving Respondent Firm which may be before this Board.
- 38. Respondent Firm understands that the Complaint and this Consent Order are public records which, together with other public documents in the file, must be made available upon legal request in accordance with the State's Freedom of Information Act.

- 39. Respondent Firm agrees that the sum and substance of the Complaint and this agreement in part or in their entirety will be set forth in Board publications and on the Board's website, as well as other appropriate placements, including the non-public enforcement exchange database administered by the National Council of Examiners for Engineering and Surveying (NCEES).
- 40. Respondent Firm acknowledges that non-compliance with this Consent Order may result in the rescission of this agreement, the reinstatement of the Complaint, the summary revocation, non-renewal or non-reinstatement of any Certificate of Authorization issued to Respondent Firm by the Board, and the addition of any other charges which may arise or ensue from Respondent Firm's non-compliance with this Consent Order.
- 41. Respondent Firm acknowledges that proof of any material misstatement or misrepresentation made in connection with this matter will result in the rescission of this agreement, the reinstatement of the Complaint, the summary revocation, non-renewal or non-reinstatement of any Certificate of Authorization issued to Respondent Firm by the Board, and the addition of any other charges which may arise or ensue from providing false information to the Board in violation of West Virginia engineering law.

### **ORDER**

- 1. On the basis of the foregoing, and upon entry of this Consent Order, the Board hereby **ORDERS** that this Consent Order shall serve as settlement of Complaint Number C2020-16 pursuant to West Virginia Code §30-13-22(b).
- 2. The Board **ORDERS** Respondent Firm to pay a civil penalty in the amount of Two Hundred Fifty Dollars (\$250.00) for each of the two separate violations of offering engineering services without a Certificate of Authorization. The civil penalty for offering engineering without a COA totals Five Hundred Dollars (\$500.00).
- 3. The Board **ORDERS** Respondent Firm to pay a civil penalty in the amount of Two Hundred Fifty Dollars (\$250.00) for each of the two separate violations of practicing

- engineering without a Certificate of Authorization. The civil penalty for practicing engineering without a COA totals Five Hundred Dollars (\$500.00).
- 4. The Board **ORDERS** Respondent Firm to pay a civil penalty in the amount of Five Hundred Dollars (\$500.00) for providing misinformation to the Board in its application for a Certificate of Authorization.
- 5. The civil penalty imposed herein totaling One Thousand, Five Hundred Dollars (\$1,500.00) must be paid within thirty (30) days of the date of entry set forth below, such amount to be made payable to the "W. Va. P.E. Board" for transfer to the general fund of the State of West Virginia upon receipt.
- 6. The sum and substance of the Complaint and this agreement in part or in their entirety shall be set forth in Board publications and on the Board's website, as well as other appropriate placements, including the non-public enforcement exchange database administered by the National Council of Examiners for Engineering and Surveying (NCEES).
- 7. Any violation of the terms of this Consent Order shall be immediate cause for further disciplinary action by the Board.
- 8. If the civil penalty imposed herein is not paid within thirty (30) days of the date of entry set forth below, then Respondent Firm's COA is hereby **ORDERED REVOKED**. Said revoked COA may be restored once the civil penalty is paid, and Respondent Firm pays the appropriate fees, and is otherwise in good standing with the Board. West Virginia Code §30-13-21(d)(1).
- 9. This Consent Order may be summarily enforced in the Circuit Court of Kanawha County, West Virginia, without further notice to Respondent Firm upon application by the Board for the entry of a Judgment Order for the total amount of payment agreed to herein of One Thousand, Five Hundred Dollars (\$1,500.00) together with pre-judgment interest from the date of the Board President's signature hereon, post-judgment interest from the date of entry of the Judgment Order, and all costs of any enforcement action(s), which judgment shall be fully executable in accordance with applicable law.

- 10. This matter shall be closed upon execution of this Consent Order by both parties and the full payment of the civil penalty agreed to herein, except as to the provisions set forth in paragraph 8, above, regarding revocation of Respondent Firm's COA, if any, and its effects shall survive the closure of this Consent Order.
- 11. This Consent Order constitutes a full and final settlement of this matter, and nothing in this Consent Order or the circumstances giving rise to the same may be the subject of any appeal or other civil or administrative actions except an action by the Board to enforce the terms of this Consent Order. This shall not prohibit the Board from considering this disciplinary action or the circumstances giving rise to the same with regard to any future disciplinary matter involving Respondent Firm which may come before this Board.
- 12. This Consent Order relates solely to matters set forth in West Virginia engineering law and does not evidence compliance with any other laws of the State of West Virginia or its political subdivisions, nor should any such compliance be implied.

(Signature line appears on next page.)

#### **AGREED TO BY:**

RESPONDENT FIRM	
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SINCLAIR PRATT CAMERON, PC

By: WILLIAM C. SINCLAIR, JR., PRESIDENT

ENTERED into the records of the West Virginia State Board of Registration for Professional Engineers this 6 day of 2 m wary, 20 20.

WEST VIRGINIA STATE BOARD OF REGISTRATION FOR PROFESSIONAL ENGINEERS

By:

BOARD PRESIDENT