

APR 14 2017

**BEFORE THE WEST VIRGINIA STATE BOARD OF
REGISTRATION FOR PROFESSIONAL ENGINEERS**

WV PE BOARD

**IN RE: ROSS STEVENS
STEVENS ENGINEERING****C2017-15****CONSENT ORDER**

Comes now the West Virginia State Board of Registration for Professional Engineers by Edward L. Robinson, P.E., its President, (hereinafter “the Board”) for the purpose of agreeing to resolve a Complaint seeking disciplinary action against Ross Stevens (hereinafter “Respondent Stevens”) and Stevens Engineering (hereinafter “Respondent Firm”) (together, “Respondents”). As reflected in this document, the parties have reached an agreement concerning the proper disposition of this matter, and the Board, upon approval of such agreement, does hereby **FIND** the following:

1. The matters set forth herein are within the jurisdiction of the Board, which is the state entity with the power and duty to regulate the practice of engineering in the State of West Virginia.
2. Respondent Firm is organized under the laws of the state of New Hampshire, with its principal place of business in New London, and has held Certification of Authorization (COA) #C02286 since 2004.
3. Respondent Stevens is the sole owner of Respondent Firm.
4. Respondent Stevens is a licensed professional engineer in New Hampshire and several other states, but allowed his West Virginia license, license number 16165 issued in 2004 to lapse effective June 30, 2015.
5. This caused Respondent Firm’s COA to be invalidated on October 13, 2015.

6. This Complaint relates to an offer of engineering services to the West Virginia Department of Natural Resources (WV DNR), which offer was accepted, after which Respondents performed engineering inspections of the tramways at four West Virginia state parks.

7. The Board initiated Complaint Number 2017-15 at its regular meeting on January 25, 2017, which was filed and served on February 6, 2017.

8. Respondent filed a timely response to the Board and also initiated informal communications with the Board resulting in the settlement reflected in this Consent Order.

9. Respondents applied for reinstatement of their license and COA, both of which were issued by the Board on March 28, 2017.

10. Respondent Stevens admits that he offered and practiced engineering in West Virginia without a professional engineering license between June 30, 2015 and March 15, 2017, in violation of West Virginia engineering law.

11. Respondent Firm admits that it offered and practiced engineering in West Virginia without a COA between October 13, 2015 and March 15, 2017.

12. Respondent represents that it has been awarded this State of West Virginia contract for over thirty (30) years, but that a change in personnel resulted in the renewal oversight which resulted in these violations.

13. The Board has taken into account the following factors in reaching this settlement: Respondents had been credentialed and practiced for many years without incident and therefore the unlicensed practice without a COA did not pose harm to the public; and Respondent Stevens promptly cooperated in the resolution of the complaint.

14. The Board, in its discretion, will not assess administrative costs although it is within its power to assess such costs and administrative costs were incurred in the investigation, initiation and resolution of this complaint.

CONCLUSIONS OF LAW

15. The Board is the state entity with the power and duty to regulate the practice of engineering in the State of West Virginia, and matters related to such practice are within the jurisdiction of the Board. W. Va. Code § 30-13-1 *et seq.*

16. Ross Stevens, as the owner of Respondent Firm, has the power and authority to make admissions and otherwise act on behalf of Respondent Firm.

17. It is unlawful for any person to practice or offer to practice engineering, as defined by West Virginia Code § 30-13-3(e), in the State of West Virginia unless that person is duly registered under the provisions of West Virginia engineering law. W. Va. Code § 30-13-2.

18. West Virginia engineering law allows a firm to practice or offer to practice engineering only upon the issuance of a certificate of authorization by the Board. W. Va. Code § 30-13-17.

19. The Board may suspend or revoke or refuse to issue, restore or renew a certificate of registration of, or place on probation, impose a civil penalty or reprimand any professional engineer who has failed to comply with any of the provisions of this article or any of the rules promulgated under it. W. Va. Code § 30-13-21(a)(4).

20. The provisions of W. Va. Code § 30-13-21(a) are applicable as appropriate to firms. W. Va. Code § 30-13-21(d).

21. The Board is authorized to “assess civil penalties against any person who violates any provision of this article or any rule promulgated by the board for each offense in an amount determined by the board.” W. Va. Code § 30-13-21(b); see also W. Va. Code § 30-13-21(d)(4).

22. Practicing engineering, as defined by W. Va. Code § 30-13-3(e), without a license is an action that may subject a person to discipline by the Board, including a civil penalty up to Five Thousand Dollars (\$5,000.00). W. Va. Code R. § 7-1-15.1.

23. Practicing engineering, as defined by W. Va. Code § 30-13-3(e), without a valid Certificate of Authorization is an action that may subject a firm to discipline by the Board, including a civil penalty up to Five Thousand Dollars (\$5,000.00). W. Va. Code R. § 7-1-15.1.

24. In determining the amount of a civil penalty to be assessed, the Board may consider such factors as the circumstances leading to the violation; the nature and severity of the violation and the risk of harm to the public; the extent to which the cited person or firm has cooperated with the Board and the Board’s investigation; and other matters as may be appropriate. W. Va. Code R. § 7-1-15.4.

25. The assessment of administrative costs is within the Board’s sole discretion. W. Va. Code § 30-13-21(d)(4) and W. Va. Code R § 7-1-14.4.

CONSENT OF RESPONDENTS

Respondent Ross Stevens, individually and as the owner of Stevens Engineering, by affixing his signature hereon, agrees to the following:

26. Respondents are aware of their right to be represented by counsel and to pursue this matter through appropriate administrative and/or court procedures, including their right to a formal

hearing before the Board, and Respondents intelligently and voluntarily waive these rights provided the Board accepts the terms and conditions set forth in this Consent Order.

27. Respondent Stevens admits that he did not have a license to practice engineering in the State of West Virginia from June 30, 2015 to March 28, 2017, during which time he offered and practiced engineering in West Virginia, in violation of West Virginia engineering law.

28. Respondent Firm admits that it did not have a Certificate of Authorization to practice engineering or offer engineering services in the State of West Virginia from October 13, 2015 until March 28, 2017, during which time Respondent Firm offered and practiced engineering in West Virginia, in violation of West Virginia engineering law.

29. Respondents accept the findings set forth above and consent to the entry of this Consent Order freely and voluntarily and not under duress, restraint or compulsion.

30. Respondents acknowledge that entering into the negotiation of this Consent Order constitutes a waiver of any and all objections regarding the timeliness of Board action on Complaint Number 2017-15. This paragraph is binding on Respondents even in the event that the Board does not approve this Consent Order.

31. Respondents acknowledge that this Consent Order is being executed for the purpose of avoiding further administrative action with respect to the above-styled matter. In this regard, Respondents authorize the Board to review and examine all investigative file materials concerning Respondents' activities in West Virginia in consideration of this Consent Order.

32. Should the Board not approve this Consent Order, Respondents waive any objections to the Board or any of its members participating in the adjudication of this matter. This paragraph is binding on the Respondents even if the Board does not approve this Consent Order.

33. Respondents acknowledge that the Board may reject this proposal, notice and hold a hearing, and, upon adjudication, impose such sanctions of a disciplinary nature as it deems appropriate.

34. Respondents understand that this Consent Order constitutes a full and final settlement of this matter, and nothing in this Consent Order or the circumstances giving rise to the same may be the subject of any appeal or other civil or administrative actions except an action by the Board to enforce the terms of this Consent Order, but that nothing in this Consent Order prohibits the Board from considering this disciplinary action or the circumstances giving rise to same with regard to any future disciplinary matter involving Respondents which may be before this Board.

35. Respondents understand that the complaint and this Consent Order are public records which, together with other public documents in the file, must be made available upon legal request in accordance with the State's Freedom of Information Act.

36. Respondents agree that the sum and substance of the Complaint and this agreement in part or in their entirety will be set forth in Board publications and on the Board's website, as well as other appropriate placements, including the non-public enforcement exchange database administered by the National Council of Examiners for Engineering and Surveying (NCEES).

37. Respondents acknowledge that non-compliance with this Consent Order may result in the rescission of this agreement, the reinstatement of the Complaint, the summary revocation of any license or certification issued to Respondents by the Board, and the addition of any other charges which may arise or ensue from Respondents' non-compliance with this Consent Order.

38. Respondents acknowledge that proof of any material misstatement or misrepresentation made in connection with this matter will result in the rescission of this agreement, the reinstatement of the Complaint, the summary revocation of any license or certification issued to

Respondents by the Board, and the addition of any other charges which may arise or ensue from providing false information to the Board in violation of West Virginia engineering law.

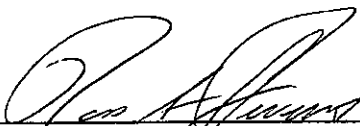
ORDER

1. On the basis of the foregoing, and upon entry of this Consent Order, the Board hereby **ORDERS** that this Consent Order shall serve as settlement of Complaint Number 2017-15 pursuant to West Virginia Code § 30-13-22(b).
2. The Board **ORDERS** Respondent Stevens to pay a civil penalty in the amount of Two Hundred and Fifty Dollars (\$250.00) for offering to practice engineering in West Virginia without a license.
3. The Board **ORDERS** Respondent Stevens to pay a civil penalty in the amount of Two Hundred and Fifty Dollars (\$250.00) for practicing engineering in West Virginia without a license.
4. The Board **ORDERS** Respondent Firm to pay a civil penalty in the amount of Two Hundred Fifty Dollars (\$250.00) for offering to practice engineering in West Virginia without a certificate of authorization.
5. The Board **ORDERS** Respondent Firm to pay a civil penalty in the amount of Two Hundred Fifty Dollars (\$250.00) for practicing engineering in West Virginia without a certificate of authorization.
6. The civil penalties imposed herein totaling One Thousand Dollars (\$1,000.00) must be paid within thirty (30) days of the date of entry set forth below, such amount to be made payable to the W. Va. P.E. Board for transfer to the general fund of the State of West Virginia upon receipt.


7. The sum and substance of the Complaint and this agreement in part or in their entirety shall be set forth in Board publications and on the Board's website, as well as other appropriate placements, including the non-public enforcement exchange database administered by the National Council of Examiners for Engineering and Surveying (NCEES).
8. Any violation of the terms of this Consent Order shall be immediate cause for further disciplinary action by the Board.
9. If the civil penalties imposed herein are not timely paid, this Consent Order may be summarily enforced in the Circuit Court of Kanawha County without further notice to Respondents upon application by the Board for the entry of a Judgment Order for the total amount of payment agreed to herein (\$1,000.00), together with pre-judgment interest from the date of the President's signature hereon, post-judgment interest from the date of entry of the Judgment Order and all costs of any enforcement action(s), which judgment shall be fully executable in accordance with applicable law.
10. This matter shall be closed upon execution of this Consent Order by both parties and the full payment of the civil penalties agreed to herein.
11. This Consent Order constitutes a full and final settlement of this matter, and nothing in this Consent Order or the circumstances giving rise to same may be the subject of any appeal or other civil or administrative actions except an action by the Board to enforce the terms of this Consent Order. This shall not prohibit the Board from considering this disciplinary action or the circumstances giving rise to the same with regard to any future disciplinary matter involving Respondents which may come before this Board.

12. This Consent Order relates solely to matters set forth in West Virginia engineering law and does not evidence compliance with any other laws of the State of West Virginia or its political subdivisions, nor should any such compliance be implied.

AGREED TO BY:



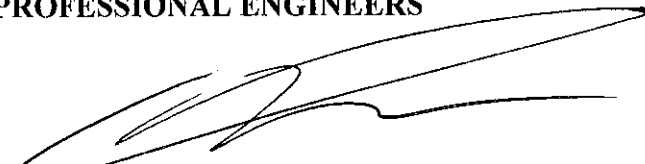
**ROSS STEVENS, Individually and as the Owner of
STEVENS ENGINEERING**



DATE

ENTERED into the records of the West Virginia State Board of Registration for Professional Engineers this 5th day of May, 2017.

**WEST VIRGINIA STATE BOARD OF REGISTRATION
FOR PROFESSIONAL ENGINEERS**

By: 

**EDWARD L. ROBINSON, P.E.
BOARD PRESIDENT**